

Risk Factors for COVID-19 Associated Pulmonary Aspergillosis (CAPA) in Severe COVID-19 and Impact of Airborne Fungal Contamination within Negative Pressure Isolation Room

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IDWeek 293

Background

COVID-19 increase the risk of invasive pulmonary aspergillosis. However, the risk factors and fungal origin of COVID-19 associated pulmonary aspergillosis (CAPA) is not fully defined yet. We aim to identify the risk factors for CAPA in severe COVID-19 and evaluate association between fungal contamination within the air of negative pressure rooms and diagnosis of CAPAs.

Methods

We performed a retrospective case-control study to identify risk factors for CAPA with 420 severe COVID-19 patients from March 2020 to January 2022 who admitted to a tertiary care hospital in South Korea. CAPA was defined with modified AspICU criteria. Control, matched by admission date and severity of COVID-19 at admission, was selected for each case. Air sampling and fungal culture was done on Jan 2022 with a microbial air sampler (MAS-100NT) at 11 spaces in the COVID-19 designated isolation ward including 9 negative pressure isolation rooms (IRs). A cross-sectional comparison between rooms with and without airborne fungal contamination was performed.

Conclusion

Association between CAPA and airborne aspergillus contamination within the negative pressure room could not be demonstrated in this study. Rather than environmental factors, patient factors such as older age, ventilator care, and lymphopenia were found to be associated with CAPA diagnosis.





Figure 1. Microscopic morphology of Aspergillus spp. from entrapped air culture IR : Isolation room



Table 4. Data of Entrapped Air Culture Result and the Patients who occupied the Room

Result of Entrapped air C Patient in Occupancy at air Timing of air culture from ac Mechanical ventilation at a Duration of ventilator care at **CAPA** Diagnosis Timing of CAPA diagnosis from

A total of 420 COVID-19 patients were hospitalized during the study period, and 51 patients were diagnosed with CAPA (prevalence 12.14%, incidence 6.26 per 1000 patient•day). (Table 1) Multivariate analysis showed that older age (odds ratio [OR] 1.051, 95% confidence intervals [CI] 1.006-1.009, p=0.025), mechanical ventilator use (OR 2.692, 95% CI 1.049-6.911, p=0.04), and lymphopenia (OR 4.353, 95% CI 1.727-10.975, p=0.02) were independent risk factors for CAPA. (Table 2, 3) Aspergillus spp. was identified within the air from 7 out of 11 spaces including 6 IRs and 1 doctors' room. (Figure 1). All 6 IRs with positive aspergillus culture were being occupied by patients at least 8 days. Among 6 patients, 3 had already been diagnosed with CAPA whereas the other 3 were not diagnosed with CAPA through the observation period. Among 4 patients in isolation rooms without airborne aspergillus contamination, one patient had been diagnosed as CAPA before air sampling. (Table 4)

Results

| Table 1. Clinical data of CAPA in patients with seve | ere COVID-19 (n=51) | Table 2.Comparativand without CAPA |
|--|--|--|
| CAPA diagnosis | 51 (100%) | |
| EORTC-MSG | | |
| Proven | 0 | Clinical characterist $\Delta ge(years)$ Media |
| Probable | 17 (33%) | Age > 65 , n (%) |
| Modified AspICU | 17 (5570) | Male gender, n (%) |
| Disease in | 0 | BMI(kg/m2), Media |
| Proven | 0 | Comorbiditis |
| Putative | 51 (100%) | Hypertension, n (%) |
| CAPA prevalence, % | | Diabetes, n (%) |
| Overall | 12.14 (51/420) | CAOD, n (%) |
| Among severe COVID-19 patients | 13.64 (51/374) | Heart failure, n (%) |
| Among critically ill COVID-19 patients | 18 18 (50/275) | Chronic kidney dise |
| CARA incidence, non 1000 notiont day | () | Chronic lung disease |
| CAPA incidence, per 1000 patient day | 0.20 | Chronic liver diseas |
| Timing of CAPA diagnosis from | 7 [4-15] | Autoimmune diseas |
| COVID-19 diagnosis, days, Median [IQR] | , [113] | Cancer, n (%) |
| Laboratory Findings | | Any immunocompro |
| Serum Aspergillus GM titer, Peak, Median [IQR] | 2.23 [1.24-10.03] | Hematologic malign |
| Positive beta-D-glucan, n (%) | 46 (90.2) | HIV/AIDS. n (%) |
| Treatment | | Severity of COVID- |
| Interval Diagnosis to Treatment, Median [IOR] | 4 [2-10] | Oxygen not needed, |
| Anti mold agant $n (0)$ | 26(70.6) | Oxygen needed, but |
| | 30 (70.0) | High flow nasal can |
| Voriconazole, n (%) | 33 (64.7) | Intubation/Ventilato |
| Echinocandin, n (%) | 6 (11.8) | Mechanical ventilate |
| Amphotericin B, n (%) | 1 (2.0) | CRRT, n (%) |
| CAPA · COVID-19 associated pulmonary aspergllosis | OVID-19 · Coronavirus | ECMO, n (%) |

CAPA: COVID-19 associated pullionary aspergiosis, COVID-19: Coronavirus Disease-19, IQR : Interquartile range, GM : galactomannan

Table 3. Multivariable analysis of potential conditions associated with CAPA diagnosis

| Factors | Odds Ratio | 95% Confidence Intervals | p-value |
|--|------------|--------------------------|---------|
| Age | 1.051 | 1.006-1.099 | 0.025 |
| Mechanical ventilator use | 2.692 | 1.049-6.911 | 0.04 |
| Steroid use, Mean dose > 0.5 mg/kg/day | 5.291 | 0.446-62.776 | 0.187 |
| LDH | 0.999 | 0.999-1.000 | 0.18 |
| Lymphocyte<500 | 4.353 | 1.727-10.975 | 0.02 |

CAPA : COVID-19 associated pulmonary aspergllosis, LDH : Lactate dehydrogenase

| | Negative- pressure Aisle | Doctor's Room | IR 1 | IR 2 | IR 3 | IR 4 | IR 5 | IR 6 | IR 7 | IR 8 | IR 9 | |
|-------------|--------------------------------|------------------|-----------|----------|----------|-----------|----------|----------|-----------|----------|----------|--|
| Culture | No growth | Asp spp. | No growth | Asp spp. | Asp spp. | No growth | Asp spp. | Asp spp. | No growth | Asp spp. | Asp spp. | |
| r culture | — | — | — | Occupied | Occupied | Occupied | Occupied | Occupied | Occupied | Occupied | Occupied | |
| dmission | | | | 15 | 22 | 16 | 29 | 9 | 10 | 24 | 8 | |
| ir culture | | | | No | No | Yes | Yes | No | No | Yes | Yes | |
| air culture | | | | | | 16 | 28 | | | 23 | 10 | |
| | | | | No | No | Yes | Yes | No | No | Yes | Yes | |
| madmission | | | | | | 7 | 12 | | | 4 | 13 | |

IR : Isolation room, Asp spp. : Aspergillus species, CAPA : COVID-19 associated pulmonary aspergillosis

Hyperglycemia, n (Ferritin, maximum, LDH, maximum, M IL-6, maximum, Me CRP, maximum, M **COVID-19 treatme** Remdesivir, n (%) Tocilizumab, n (%) Baricitinib, n (%) Antibiotics, n (%) Corticosteroids, n Cumulative dose dur Cumulative dose, M Mean dose, Mediar Mean dose > 1.0mg Mean dose > 0.5mg Outcomes Hospital length of s 14-Day mortality, r 28-Day mortality, n In-hospital mortality Successful Extubati

Severance



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le 2. Comparative data on the clinical characteristics and outcomes between severe COVID-19 patients with

| | Patients with CAPA | Patients without CAPA | p-value |
|--|------------------------|---|---------|
| Clinical characteristics | (11-51) | (11-51) | |
| Age(vears). Median [IOR] | 71 []64-78] | 64 [56-73] | 0.006 |
| Age > 65, n (%) | 38 (74.5%) | 25 (49.0) | 0.008 |
| Male gender, n (%) | 30 (58.8) | 32 (62.7) | 0.685 |
| BMI(kg/m2), Median [IQR] | 23.49 [20.94-28.63] | 24.34 [20.39-26.44] | 0.534 |
| Comorbiditis | | | |
| Hypertension, n (%) | 30 (58.8) | 31 (60.8) | 0.84 |
| Diabetes, n (%) | 25 (49.0) | 21 (41.2) | 0.426 |
| CAOD, n (%) | 14 (27.5) | 6 (11.8) | 0.046 |
| Heart failure, n (%) | 5 (9.8) | 1 (2.0) | 0.205 |
| Cerebrovascular disease, n (%) | 5 (9.8) | 6 (11.8) | 0.75 |
| Chronic kidney disease, n (%) | 15 (29.4) | 8 (15.7) | 0.97 |
| Chronic lung disease, n (%) | 5 (9.8) | 7 (13.7) | 0.539 |
| Chronic liver disease, n (%) | 3 (5.9) | 5 (9.8) | 0.715 |
| Autoimmune disease, n (%) | 2 (3.9) | 1 (2.0) | 1.000 |
| Cancer, n (%) | 13 (25.5) | 11 (21.6) | 0.641 |
| Any immunocompromised status, n (%) | 8 (15.7) | 6 (11.8) | 0.565 |
| Hematologic malignancy, n (%) | 1 (2.0) | 0 (0) | 1.000 |
| Solid organ transplant, n (%) | 5 (9.8) | 4 (7.8) | 1.000 |
| HIV/AIDS, n (%) | 1 (2.0) | 0 (0) | 1.000 |
| Severity of COVID-19 at Admission | | | 1.000 |
| Oxygen not needed, n (%) | 3 (5.9) | 3 (5.9) | |
| Oxygen needed, but no HFNC, n (%) | 9 (17.6) | 9 (17.6) | |
| High flow nasal cannula, n (%) | 23 (45.1) | 23 (45.1) | |
| Intubation/Ventilator, n (%) | 16 (31.4) | 16 (31.4) | |
| Worst severity after admission§ | | 21 (11 2) | 0.000 |
| Mechanical ventilator, n (%) | 32 (62.7) | 21 (41.2) | 0.029 |
| CRRT, n (%) | 2 (3.9) | 4 (7.8) | 0.678 |
| ECMO, n (%) | 2 (3.9) | 1 (2.0) | 1.000 |
| Inotropics, n (%) | 29 (56.9) | 22 (43.1) | 0.166 |
| Laboratory indingss | 0 (0) | 2 (2 0) | 0.405 |
| Neutropenia (Neutropnil<1000), n (%) | 0(0) | 2 (3.9) | 0.495 |
| Lymphopenia (Lymphocyte<1000), n (%) | 50 (98.0) 27 (72.5) | 59 (70.5) 17 (22.2) | 0.001 |
| Severe Lymphopenia (Lymphocyte<500), n (%) | 37(72.3) | 17(33.3) 14(27.5) | 0.000 |
| Forritin maximum Madian [IOP] | 20 (39.2) | 14 (27.3) | 0.208 |
| I DH maximum Median [IQR] | 641 [558 810] | 571 [420,600] | 0.901 |
| IL 6 maximum Median [IQR] | 306 [61 044] | 571 [429-099] 215 [44 713] | 0.017 |
| CPP maximum Median [IQR] | 180 [85-232] | 213 [44- 715] 123 [37-215] | 0.222 |
| COVID-19 treatments | 100 [05-252] | 125 [57-215] | 0.057 |
| Remdesivir n (%) | 51 (100) | 42 (82 4) | 0.003 |
| Tocilizumab n (%) | 31 (60.8) | 26 (51 0) | 0.16 |
| Baricitinib. n (%) | 3 (5.9) | 3 (5.9) | 1.000 |
| Antibiotics, n (%) | 46 (90.2) | 37 (72.5) | 0.022 |
| Corticosteroids, n (%) | 51 | 47 | 0.118 |
| Cumulative dose during admission. Median [IOR] | 1436 [1031-2829] | 815 [402-1462] | 0.000 |
| Cumulative dose, Median [IOR] | 631 [358-1239]† | 608 [402-768]± | 0.252 |
| Mean dose, Median [IOR] | 64 [40-80]* | 61 [40-78]** | 0.668 |
| Mean dose $> 1.0 \text{mg/kg/day}$, n (%) | 23 (45.1) | 22 (43.1) | 0.842 |
| Mean dose $> 0.5 \text{mg/kg/day}$, n (%) | 50 (98.0) | 43 (84.3) | 0.031 |
| Outcomes | | | |
| Hospital length of stay, Median [IQR] | 32 ([8-87] | 15 [9-31] | <0.001 |
| 14-Day mortality, n (%) | 7 (13.7) | 5 (9.8) | 0.539 |
| 28-Day mortality, n (%) | 23 (45.1) | 8 (15.7) | 0.001 |
| In-hospital mortality, n (%) | 37 (72.6) | 11 (21.6) | <0.001 |
| Successful Extubation, n (%) | 9 (57.5) | 13 (61.9) | 0.005 |

CAPA : COVID-19 associated pulmonary aspergllosis, COVID-19 : Coronavirus Disease-19, IQR : Interquartile range, CAOD : Coronary artery occlusive disease, HIV : human immunodeficiency virus, AIDS : Acquired immunodeficiency syndrome, CRRT : Continuous renal replacement therapy, ECMO : Extracorporeal membrane oxygenation, LDH : Lactate dehydrogenase, IL-6: Interleukin 6, CRP: C-reactive protein, § In CAPA group, the status before CAPA diagnosis was used. [†]Cumulative steroid dose before CAPA diagnosis in CAPAP group, [‡] Cumulative steroid dose over ther first ten days after steroid use in non-CAPA group * Average of daily steroid dose before CAPA diagnosis in CAPA group, ** Average of daily steroid dose over the first ten days after steroid use in non-CAPA group