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## 1. Background and methods

### Background:

- High COVID-19 morbidity and mortality in cancer patients
- Few therapies in severe COVID-19 [1, 2]
- Lack of data from randomized controlled trials for convalescent and/or vaccinated plasma in high-risk patients [3, 4]

### Methods:

- Randomized controlled multicenter trial (Germany), protocol [5]
- Inclusion of
  - hospitalized patients with COVID-19 (PCR-confirmed)
  - with oxygen saturation  $\leq 94\%$  under ambient air
  - and belonging to defined risk-groups:
    - Group 1, hematologic or solid cancer
    - Group 2, immunosuppression
    - Group 3, lymphopenia ( $<0.8G/l$ ) or D-dimers ( $>1\mu g/ml$ )
    - Group 4, age  $>75$  years
- Randomization into
  - administration of convalescent/vaccinated plasma with live virus neutralization titer  $\geq 1:80$  on day 1+2 (PLASMA)
  - Standard of Care (CONTROL, SOC), possible cross-over on day 10
- Primary endpoint: time to improvement of 2 points on a clinical 7-point ordinal scale or live hospital discharge
- Secondary endpoint: overall survival, antibody dynamics

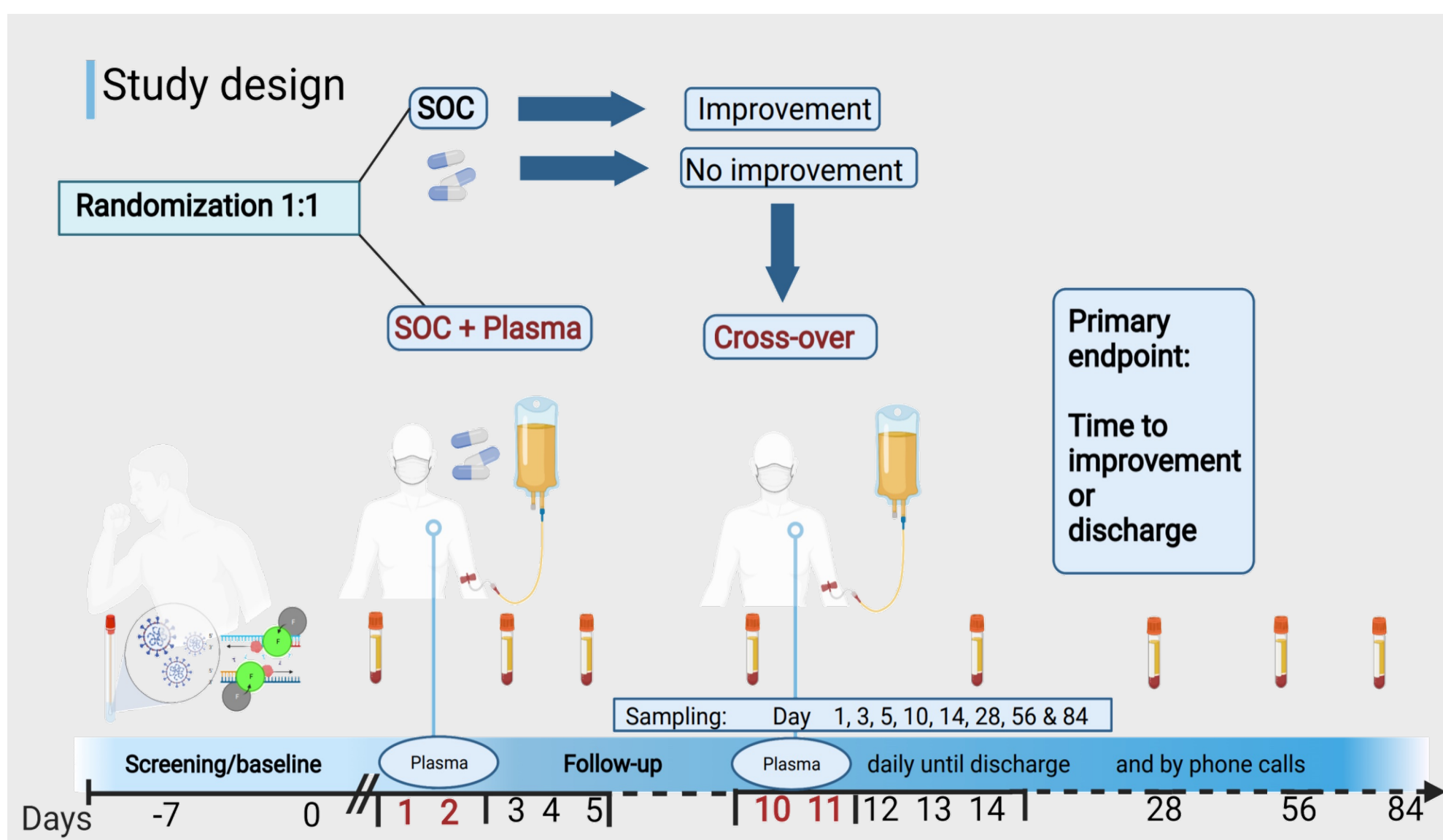


Fig.1: Study flow

Timeline from max. -7 days from symptom onset until randomization. Plasma donation from 2 different donors on day 1 and 2 in PLASMA group or on day 10 and 11 in CONTROL group in case of cross-over; SOC = standard of care

## 2. Population

- Total patients  $n=134$ , group 1  $n=56$  (42%), group 2  $n=16$  (12%), group 3  $n=36$  (27%), group 4  $n=26$  (19%).
- Group 1: B cell lymphoma/leukemia (36%), other hematological malignancies (48%), solid cancers (16%)
- Median time symptom onset to randomization: 7 days, IQR 4,10
- PLASMA:  $n=68$ , CONTROL  $n=66$ , cross-over  $n=10$
- Adverse effects related to plasma were similar in PLASMA and CONTROL.

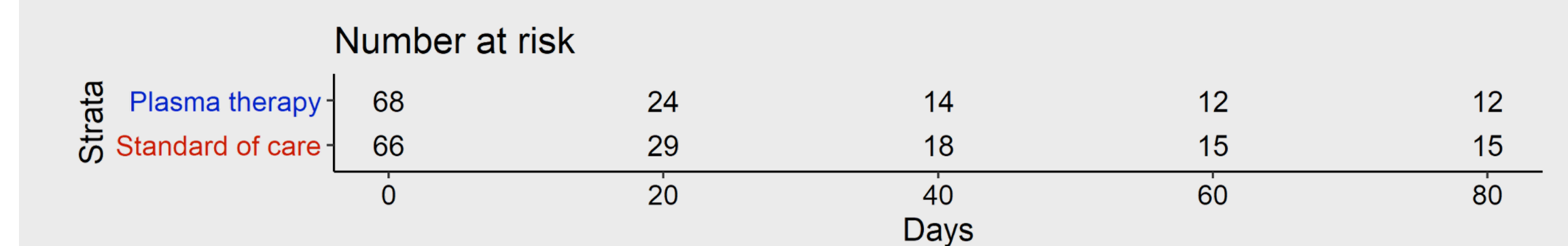
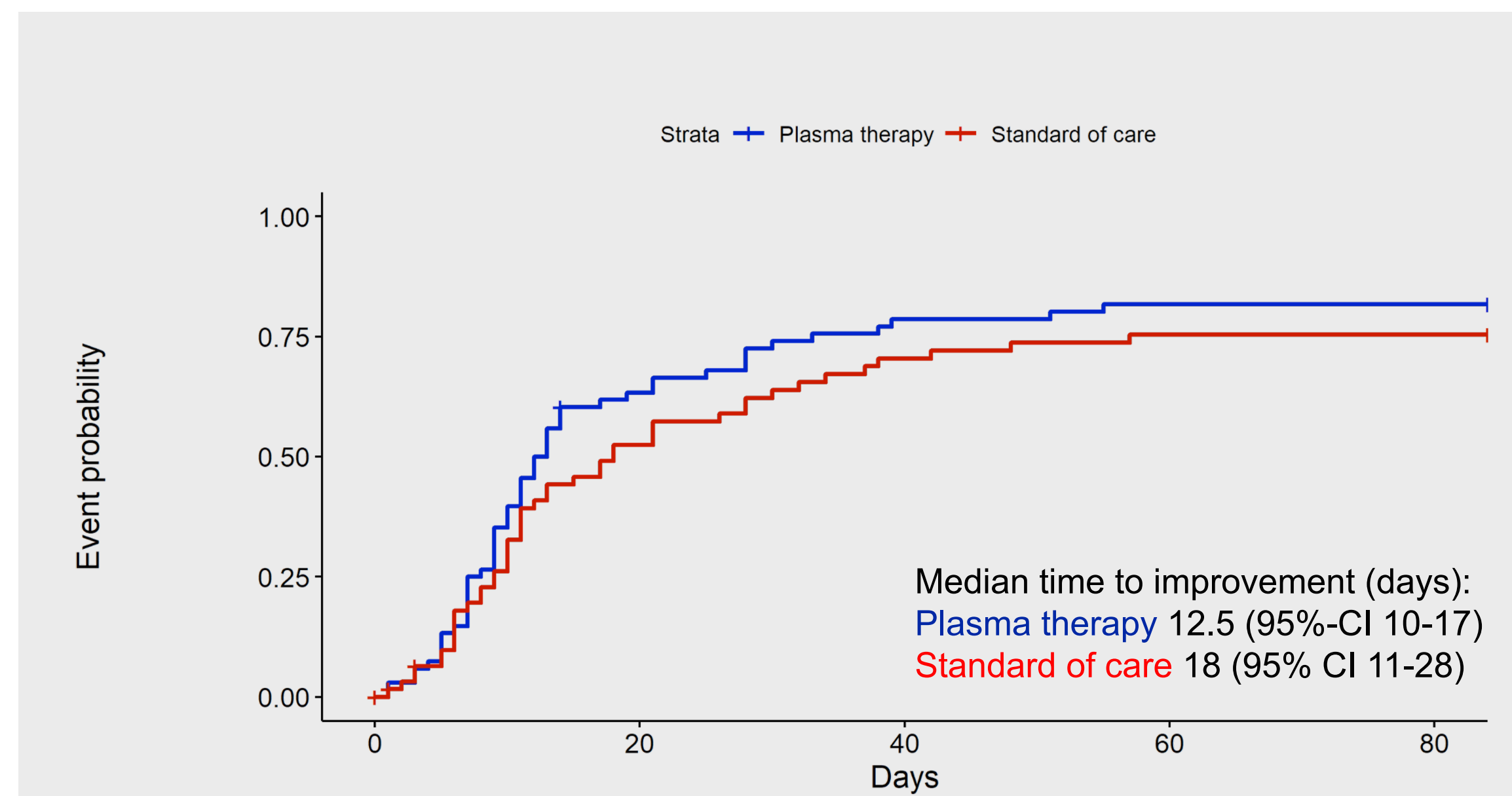


Fig.2: Primary endpoint - Time to discharge or improvement of 2 points in the 7-point ordinal scale or live hospital discharge; Kaplan Meier curve by PLASMA (blue) and CONTROL (red) with number of subjects at risk  
Top: all groups; bottom: group 1 (cancer)

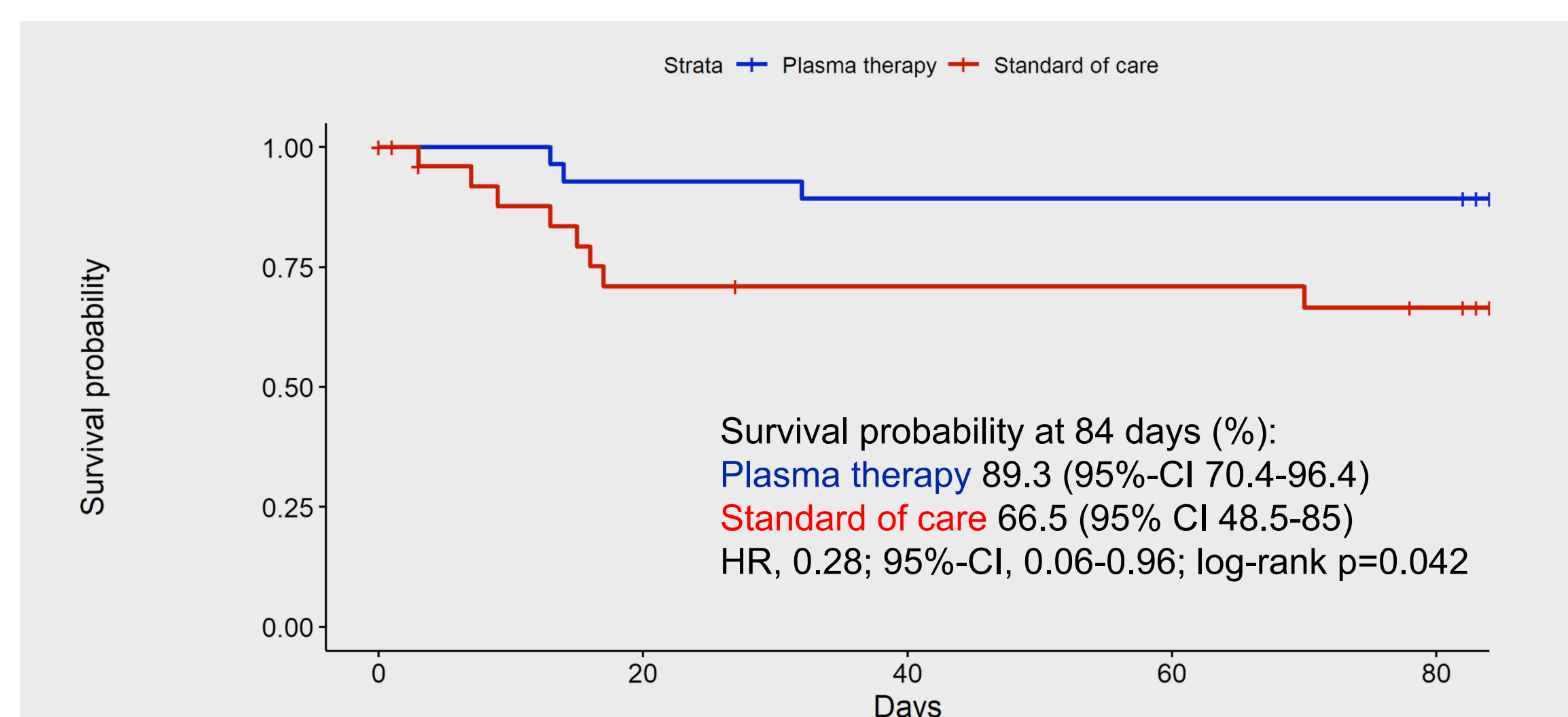


Fig.3: Overall survival probability for subgroup for group 1 (cancer), Kaplan Meier curve by PLASMA (blue) and CONTROL (red) with number of subjects at risk

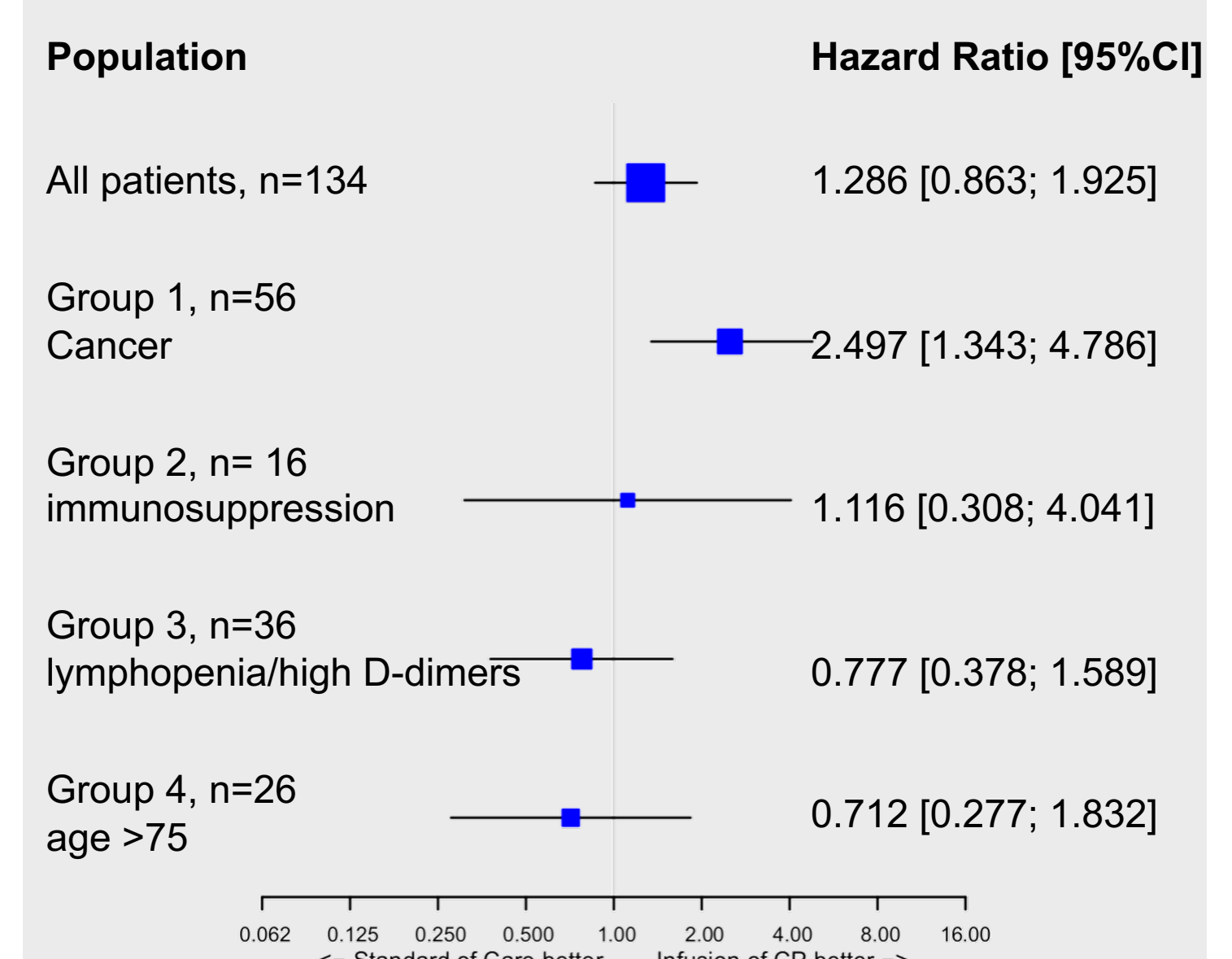


Fig.4: Primary endpoint - Time to discharge or improvement of 2 points in the 7-point ordinal scale or live hospital discharge; Forest plot for Hazard ratio for all groups and subgroups

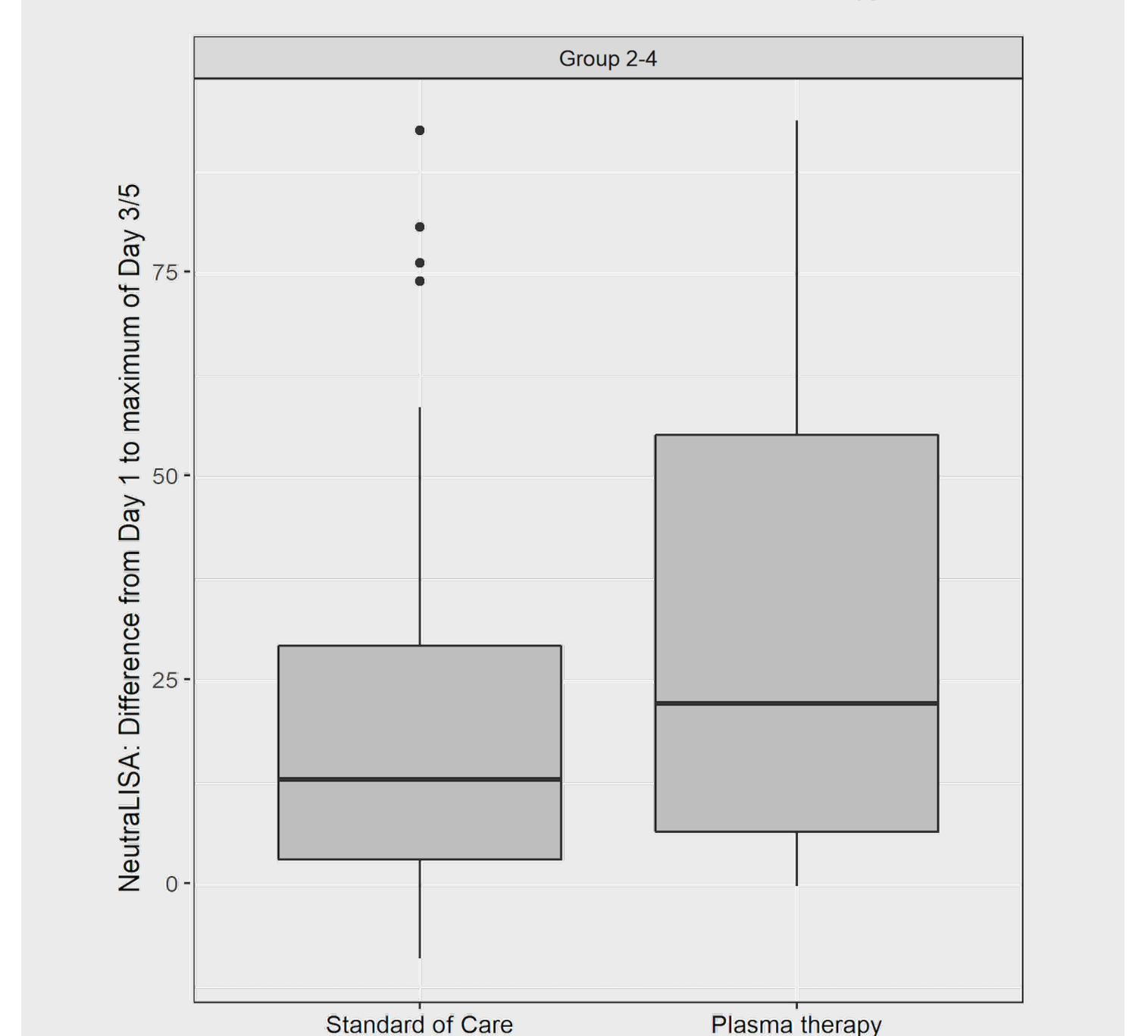
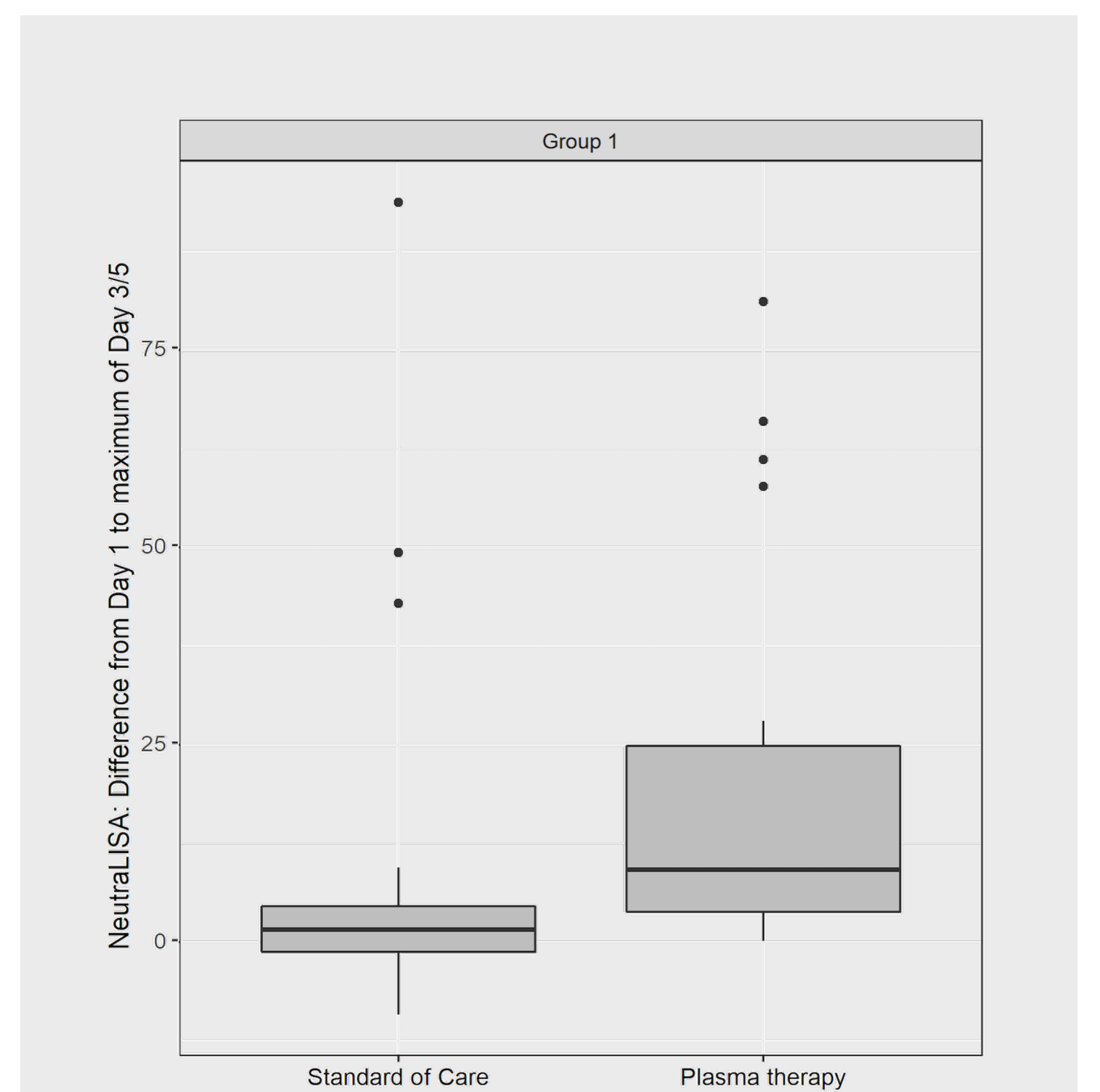


Fig.5: Increase in neutralizing activity (% surrogate inhibition assay day 1 before transfusion, compared to highest level on day 3/5 for

- group 1 (top) PLASMA: 9.1, IQR: 3.8, 24.9, CONTROL: 1.6, IQR: -1.5, 4.7;  $p=0.001$  Wilcoxon signed rank test
- group 2-4 (bottom); no differences in neutralizing antibody activity

## 3. Conclusion and knowledge generated

- in group 1 (cancer patients) plasma therapy shortened time to improvement from 31 days to 13 days and improved overall survival
- in group 2 (immunosuppression), due to small numbers, no statistically significant effect could be shown  $\rightarrow$  further trials needed
- in other high-risk groups (lymphopenia/elevated D-dimers, advanced age) no benefit was observed  $\rightarrow$  specific effect
- likely mechanism: substantial increases in anti-SARS-CoV-2 neutralizing antibodies in cancer patients (low or absent levels at baseline) but not in other risk groups

Relevance: Convalescent/vaccinated plasma with high titers of neutralizing activity against SARS-CoV-2 may improve outcome in cancer patients with severe COVID-19

### References

[1] Vijnenthira A, Gong IY, Fox TA, et al: Outcomes of patients with hematologic malignancies and COVID-19: a systematic review and meta-analysis of 3377 patients. Blood 136:2881-2892, 2020; [2] Katzenschlager S, Zimmer AJ, Gottschalk C, et al: Can we predict the severe course of COVID-19 - a systematic review and meta-analysis of indicators of clinical outcome? PLoS One 16:e0255154, 2021; [3] Piechotta V, Iannizzi C, Chai KL, et al: Convalescent plasma or hyperimmune immunoglobulin for people with COVID-19: a living systematic review. Cochrane Database of Systematic Reviews, 2021; [4] Convalescent plasma in patients admitted to hospital with COVID-19 (RECOVERY): a randomised controlled, open-label, platform trial. Lancet 397:2049-2059, 2021; [5] Janssen M, Schäkel U, Djuka Fokou C, et al: A Randomized Open label Phase-II Clinical Trial with or without Infusion of Plasma from Subjects after Convalescence of SARS-CoV-2 Infection in High-Risk Patients with Confirmed Severe SARS-CoV-2 Disease (RECOVER): A structured summary of a study protocol for a randomised controlled trial. Trials 21:828, 2020

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